



The Proposed Qualified Candidate for Director Position  
of the 2025 Annual General Meeting of Shareholders Form

Name-Surname of Proposer .....

Present/Contact Address .....

No. .... Village/Building .....

Moo ..... Soi/Street .....

Road ..... Sub-district .....

District ..... Province .....

Postal Code ..... Country .....

Telephone No. .... Facsimile No. ....

Mobile Phone No. .... Email Address .....

Numbers of Share Holding ..... As of .....

or totaling ..... percent of all the voting shares .....

Qualified Candidate for Director Election

**Representation and Warranty**

I would like to such person for the Nomination and Compensation Committee's and the Board's consideration as a qualified candidate to be elected as TISCO Financial Group Public Company Limited's director. Such person has made his/her consent and certify that information disclosed in the attached "*Resume of Proposed Candidate for Director Position*" and other support documents is true and authentic. I agree that the Company may disclose such information and evidence. I hereby affix my signature as evidence below.

Signed by ..... Shareholder

( ..... )

Date .....

\* In case shareholders have unified to propose the qualified candidate for director position, each shareholder must fill in this form and sign their names as evidence separately. Then the forms should be gathered into one set.

\* All shareholders who propose agenda above shall signed their names in the Consent Letter for Personal Data Processing attached in this form.



**Supplementary Documents to be enclosed with this form**

(1) The evidence of Identification:

- Individual persons: copy of valid National ID card or governmental officer identification card or driver license or passport (in case of non-Thai nationality), attached with certifying signatures of such shareholders;
- Juristic persons: copy of the company's affidavit issued by Department of Business Development, Ministry of Commerce or other related agencies, and copy of valid National ID card or passport (in case of non-Thai nationality) of authorized signatories, attached with certifying signatures of the authorized signatories
- If a shareholder has his/her title, name or surname changed, a copy of evidence of those changes must be enclosed and certified true copy.

(2) The evidence of shareholding, i.e.

- The certificate of shares held issued by securities companies or any other certificates from Thailand Securities Depository Co., Ltd. or the Stock Exchange of Thailand or custodian or Securities Paper and certified true copies by such shareholders.

(3) The evidence of shareholding, i.e.

- The certificate of shares held issued by securities companies or any other certificates from Thailand Securities Depository Co., Ltd. or the Stock Exchange of Thailand or custodian or Securities Paper and certified true copies by such shareholders.

*\* Please conceal your religion on the certified true copy of the identification card. In case that the religion on the copy of your identification card is not concealed, the Company will strikethrough the detail since such data is not required to be processed.*

The Company collects, uses, and discloses the personal data of shareholders following the Privacy Notice, detailed on the Company's website <https://www.tisco.co.th/en/privacy-notice.html>



### Consent Letter for Personal Data Processing

#### For Shareholders who Propose Qualified Candidate for Director Position of the 2025 Annual General Meeting of Shareholders

I, ..... give consent to TISCO Financial Group Public Company Limited (“the Company”) to collect, use and disclose my personal data, including name, surname, date of share purchase, number of shares held, and the ratio of shares held to all the voting shares, for the minutes and attachments of the general meetings of shareholders of the Company, and for specifying my personal data in the publicly disclosed minutes and attachment.

Signed by ..... Shareholder

( ..... )

Date .....

**Note:** Please refer the privacy notice at <https://www.tisco.co.th/en/privacy-notice.html>.



Please attach  
colored photo  
Size 2"

**Resume of Proposed Candidate for Director Position**

1. Name-Surname (1) in Thai \_\_\_\_\_ (Maiden Name \_\_\_\_\_ )  
 (2) in English \_\_\_\_\_ (Maiden Name \_\_\_\_\_ )
2. Date of Birth \_\_\_\_\_ Age \_\_\_\_\_ years
3. Nationality \_\_\_\_\_ Gender \_\_\_\_\_
4. No. of  Identification card  Passport \_\_\_\_\_
5. Health  Fit for the director position  Not fit for the director position
6. Marital Status  Single  Married (with martial agreement)  Married (without martial agreement)  Divorce  Widowed

7. Details on Spouse

	In Thai	In English
Name-Surname		
Maiden Name		

No. of  Identification card  Passport \_\_\_\_\_

Date of Birth \_\_\_\_\_ Nationality \_\_\_\_\_

Place of work \_\_\_\_\_

8. Children /Adopted Children

Number of Children/Adopted Children \_\_\_\_\_ (In case the sui juris, please indicate place of work)

	In Thai	In English
1. Name-Surname		
Maiden Name		

No. of Identification card \_\_\_\_\_ Nationality \_\_\_\_\_

Date of Birth \_\_\_\_\_ Place of work \_\_\_\_\_

	In Thai	In English
2. Name-Surname		
Maiden Name		

No. of Identification card \_\_\_\_\_ Nationality \_\_\_\_\_

Date of Birth \_\_\_\_\_ Place of work \_\_\_\_\_



**9. Current Address**

**(1) Business Address**

Name .....

No. .... Village/Bldg. .... Moo .....

Soi/Street ..... Road ..... Sub-district .....

District ..... Province ..... Postal Code .....

Country ..... Telephone No. .... Facsimile No. ....

Mobile Phone No. .... Email Address .....

**(2) Home Address**

Name .....

No. .... Village/Bldg. .... Moo .....

Soi/Street ..... Road ..... Sub-district .....

District ..... Province ..... Postal Code .....

Country ..... Telephone No. .... Facsimile No. ....

Mobile Phone No. .... Email Address .....

**(3) Overseas Address (only for a non-resident, please specify overseas contact address)**

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**10. Professional Background**

**(1) Education**

Institution	Level of Education	Degree and Major Subject	Year of Graduation (B.E.)

**(2) Training/ Seminar course related to being director**

Course	Organizer	Year Attended (B.E.)



**11. Work Experiences up to present (10 years back in reverse chronological order)**

Organization	If the company is listed on the local or overseas stock exchange? (yes/no)	Type of Business	Position (chairman/ independent director/non-executive director/ executive director/ management/employee)	From (B.E.)	To (B.E.)

**12. Duties and Responsibilities of Current Position**

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**13. Current Political Official\*/Social Activities**                       None                       Yes

Organization	Address	Position

Clarification: If the answer is “yes”, please explain the actions to be taken to comply with relevant notifications

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*If a person is a political official or holds any position within the scope of “prohibited characteristics” according to Sections 24 of the Financial Institution Business Act B.E.2551 and applicable Bank of Thailand Notifications*

**14. Knowledge, ability, and experience beneficial for financial institution**

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## 15. Shareholding

(1) TISCO Financial Group Public Company Limited     None                                     Yes

Common Shares ..... shares    Par 10 Baht                                    Total ..... Baht

Preferred Shares ..... shares    Par 10 Baht                                    Total ..... Baht

In addition, all of my related persons hold shares in TISCO Financial Group as follows:

	Total	
	Common Shares	Preferred Shares
a. father, mother, or my adoptive parents;		
b. spouse,		
c. a child or an adopted child who is a minor;		
d. a company in which I or the person in b. or c. have the power of management <sup>1</sup>		
e. a company in which I or the person in b. or c. have the power to exercise control over the majority vote in a shareholders meeting;		
f. a company in which I or the person in b. or c. have the power to exercise control over the appointment or dismissal of directors;		
g. a subsidiary company of the company in d. or e. or f.;		
h. an affiliated company of the company in d. or e. or f.;		
i. a principal or a nominee with the characteristics as prescribed by the Bank of Thailand		

*In case a person holds 20% or more of the total amount of shares sold, either directly or indirectly, in a company, it is assumed that the company is related to that person.*

<sup>1</sup> "Person with power of management" means:

- (1) a manager, deputy manager, assistant manager, director of a financial institution or company, whichever is the case, or any person with equivalent rank, whatever the position may be named;
- (2) a person whom a financial institution or company has contracted to have partial or full power of management; or
- (3) a person who has the de factor power to exercise control over or dominate the manager or director, or the management of a financial institution or a company to comply with his/her order in formulating policy or conducting business of the financial institution or company.



(2) TISCO Bank Public Company Limited [ ] None [ ] Yes

Common Shares ..... shares Par 10 Baht Total ..... Baht

Preferred Shares ..... shares Par 10 Baht Total ..... Baht

In addition, all of my related persons hold shares in TISCO Bank as follows:

	Total	
	Common Shares	Preferred Shares
a. father, mother, or my adoptive parents;		
b. spouse,		
c. a child or an adopted child who is a minor;		
d. a company in which I or the person in b. or c. have the power of management <sup>2</sup>		
e. a company in which I or the person in b. or c. have the power to exercise control over the majority vote in a shareholders meeting;		
f. a company in which I or the person in b. or c. have the power to exercise control over the appointment or dismissal of directors;		
g. a subsidiary company of the company in d. or e. or f.;		
h. an affiliated company of the company in d. or e. or f.;		
i. a principal or a nominee with the characteristics as prescribed by the Bank of Thailand		

*In case a person holds 20% or more of the total amount of shares sold, either directly or indirectly, in a company, it is assumed that the company is related to that person.*

16. Report of business related to self, spouse, and minor. Please provide information of each and every company that the person applied for approval serves as a director, manager or person with power of management and also every company that the person together with spouse and minor holding shares totaling more than 5 percent of paid-up capital.

<sup>2</sup> "Person with power of management" means:

- (1) a manager, deputy manager, assistant manager, director of a financial institution or company, whichever is the case, or any person with equivalent rank, whatever the position may be named;
- (2) a person whom a financial institution or company has contracted to have partial or full power of management; or
- (3) a person who has the de factor power to exercise control over or dominate the manager or director, or the management of a financial institution or a company to comply with his/her order in formulating policy or conducting business of the financial institution or company.





(1) Company related to self

Business Group 1

No.	Company's Name	Listed domestic/overseas company (yes/no)	Type of business	Position	From – To (year)	% of shares held	Type of position (Chairman/Executive director/Authorized director) (yes/no)	Being granted credit form FI/ company within the solo consolidated group of FI applied for approval? (yes/no)

Business Group 2

No.	Company's Name	Listed domestic/overseas company (yes/no)	Type of business	Position	From – To (year)	% of shares held	Type of position (Chairman/Executive director/Authorized director) (yes/no)	Being granted credit form FI/ company within the solo consolidated group of FI applied for approval? (yes/no)



Business Group 3

No.	Company's Name	Listed domestic/overseas company (yes/no)	Type of business	Position	From – To (year)	% of shares held	Type of position (Chairman/Executive director/Authorized director) (yes/no)	Being granted credit form FI/ company within the solo consolidated group of FI applied for approval? (yes/no)

Total number of related \_\_\_\_\_ business group (s) \_\_\_\_\_ companies,  
 Total number of companies of which the person in charge of the chairman  
 of the Board of Directors or executive director \_\_\_\_\_ business group (s)  
 Total number of companies listed on the local or overseas stock exchange \_\_\_\_\_ business group (s)

Remark: (1) "Business Group" means

- (1.1) Group of business consisted of parent company, subsidiary or affiliated company.
- (1.2) Group of business under the controlling power of a single person.

(2) For companies related to self,

(2.1) Grouping shall be according to the definition of "business group" under (1); where a company is not a business group, each company shall be considered as one group.

(2.2) Where the position held in the business is a chairman of the board of directors/ executive director, please specify clearly.

Clarification: If the person is in positions of the chairman of the Board of Directors, executive director or signatory director of more than 5 related business groups, please explain the actions to be taken to comply with relevant notifications

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(3) Company related to spouse

No	Company's Name	Type of business	Position	From – To (Year)	% of shares held	Being granted credit form FI / company within the solo consolidated group of FI applied for approval? (yes/no)

(4) Company related to minor children

No	Name of minor child	Company's Name	Type of business	Position	From – To (Year)	% of shares held	Being granted credit form FI / company within the solo consolidated group of FI applied for approval? (yes/no)

17. Record of lawsuit that I sued or being sued  None  Yes

Court	Status (Plaintiff/ Defendant/ Claimant/ Complainant/ Litigant)	Type (Civil/Criminal/ Bankruptcy/ Administrative)	Offense	Amount	Court Case No.	Last Trial Date	Outcome

18. Record history of accusations, petitions, pending in litigations, investigation or interrogation by local and overseas government organizations e.g. State Audit Office of the Kingdom of Thailand (OAG), Office of the National Anti-Corruption Commission (NACC), Anti-Money Laundering Office (AMLO).  None  Yes (please specify)

For example, Bank of Thailand (BOT), The Office of the Securities and Exchange Commission (SEC), Office of Insurance Commission (OIC) Office of the Consumer Protection Board (OCPB) (Please specify "No" if there is no mentioned history)



Government organization making accusation/ petition or litigation/ doing investigation/ inflicting punishment	Issue	Date of accusation/ petition/litigation/ investigation/ punishment	Status of the litigation/ investigation/ punishment	Outcome

\* e.g. State Audit Office of the Kingdom of Thailand (OAG), Office of the National Anti- Corruption Commission (NACC), Anti-Money Laundering Office (AMLO)

19. Record of investigations by former or current employers\*  None  Yes

Employer	Issue	Date of investigation	Investigator	Investigation status	Outcome

\* For an investigation related to the violation of laws or internal guidelines related to honesty, the financial institution shall also submit the documents as specified in Item 22 (8)

20. Prohibited qualifications or characteristics of a director and/or executive

a) General prohibited qualification as specified by the Notification of Capital Market Supervisory Board No. KorChor 3/2560 (2017) Re: Determination of Untrustworthy Characteristics of Company Directors and Executives

- (1) being an insolvent person, an incompetent or a quasi-incompetent person  Yes  No
- (2) being banned from holding or resuming the position of director, manager, person with the managerial power, or advisor to a financial institution on the ground of having prohibited characteristics under the Law on Supervision of Financial Institutions, either Thai or foreign law, provided that such prohibited characteristics are related to any of the following matters:
  - (a) performing duties dishonestly, or engaging in dishonest, deceitful or fraudulent acts against assets;
  - (b) managing work in violation of law or in contrary to the order of the regulator, or managing or administering work inappropriately;
  - (c) acting in violation of law or acting unfairly or taking advantage of others.



- (3) having been sentenced to imprisonment by the court's final judgment and it has not yet surpassed the three-year interval time after either the person completed the term of imprisonment or the period of sentence suspension ended, for any of the following offenses:  Yes  No
- (a) an offense under Paragraph 2 of Section 281/2, Section 289 in conjunction with Section 90 (only in the case liable to public fraud) or Section 306 to Section 315 of the Securities and Exchange Act B.E. 2535 (1992);
- (b) an offense under Section 92 to Section 100, Section 125 in conjunction with Section 16 (only in the case liable to public fraud) or Section 145 to Section 150 of the Derivatives Act B.E. 2546 (2003)
- (4) having been sentenced to imprisonment by the court's final judgment and it has not yet surpassed an interval of three years after either the person completed the term of imprisonment or the period of suspension of imprisonment ended, provided that the action taken hereto shall be based upon the commission of public offences regarding deceitful, fraudulent or dishonest management of assets;  Yes  No
- (5) having been subject to the court's final order of asset seizure for public revenue under the Counter Corruption Act, the Anti-Money Laundering Act or any other similar law and it has not yet surpassed an interval of three years after the date on which the court issued such order  Yes  No
- (6) having a demeanor constituting an offense under (3), which is a ground for the SEC Office to file a criminal complaint against such person with the inquiry official, and being under investigation by the inquiry official, or under consideration and prosecution of the public attorney, or the deliberation procedure in court  Yes  No
- (7) A person having a demeanor in the nature of offense under Section 238, Section 240 to Section 243, Paragraph 1 of Section 281/2 and Section 281/10 of the Securities and Exchange Act B.E. 2535 (1992) prior to the Amendment by the Securities and Exchange Act (No. 5) B.E. 2559 (2016), which is a ground for any of the following cases shall be deemed to have untrustworthy characteristics:  Yes  No
- (a) imprisonment by the court's final judgement for an offense resulting in the accusation or legal proceeding initiated by any entity other than the SEC Office;



- (b) a criminal fine as deemed appropriate by the Criminal Fining Committee and notified in writing;
- (c) a criminal complaint filed by the SEC Office with the inquiry official.
- (8) Any person subject to consideration of offense or being named in a written criminal complaint filed with the inquiry official, resulting in the possession of untrustworthy characteristics pursuant to the Notification of the Securities and Exchange Commission No. Kor Jor. 8/2553 Re: Determination of Untrustworthy Characteristics of Company Directors and Executives dated 23 April 2010, shall be deemed to have untrustworthy characteristics under this Notification, and such person shall be subject to removal from work position under Section 89/4 or Section 89/6 of the Securities and Exchange Act B.E. 2535 (1992) until completion of the removal period or the conditions specified under the said Notification
- b) Prohibited qualifications and characteristics of directors, managers or persons with power of management as specified under Section 24 (1) to Section 24 (9) of the Financial Institutions Business Act B.E. 2551**
- (9) being a bankrupt or having been discharged from bankruptcy for less than five years  Yes  No
- (10) having been imprisoned by a final court judgment for an offense related to property committed with dishonest intent regardless of whether the penalty is pending or not  Yes  No
- (11) having been dismissed or discharged from government service, state organization or agency on a charge of dishonest act in office  Yes  No
- (12) having been a person with management authority holding a position of a financial institution during the period which its license is revoked unless a waiver is granted by The Bank of Thailand  Yes  No
- (13) having been removed from the position of director, manager or person with management authority of a financial institution under Section 89 (3)<sup>5</sup>, or Section 90 (4)<sup>6</sup> or under the law governing securities and exchange unless a waiver is granted by the Bank of Thailand  Yes  No

<sup>5</sup> Section 89 In the event that the Financial Institutions, their directors, managers or Persons Authorized to Manage violate or fail to comply with this Act or Rules, regulations or notifications issued by virtue of this Act or the criteria prescribed in the license, the Bank of Thailand shall have the power to take the following actions:

(3) Issue an order to dismiss any or all of those directors, managers of Persons Empowered to Manage. Such order shall be deemed as a resolution of the meeting of shareholders.

<sup>6</sup> Section 90 In the event that the Financial Institutions have financial positions or operate their businesses in a manner that may cause damage to the public, the Bank of Thailand shall have the power to do the following:

(4) Order those Financial Institutions to dismiss some or all directors, managers or Persons Empowered to Manage and appoint other persons to replace them immediately as seem suitable and such order shall be deemed as a resolution of the meeting of shareholders.



- (14) concurrently being a director, a manager, an officer, or a person with management authority of any other financial institution unless a waiver is granted by the Bank of Thailand [ ] Yes [ ] No
- (15) being a manager or a person with management authority besides the position of director of a company being granted credits, guarantee, or aval by, or having contingent liabilities with TISCO Bank unless holding a position of director or advisor of the TISCO Bank which is non-executive or exempted in accordance with the regulation prescribed by the Bank of Thailand [ ] Yes [ ] No
- (16) being a government official, a member of the parliament, a senator, a member of district legislature, a district administrator or person holding any political position as prescribed by the Bank of Thailand [ ] Yes [ ] No
- (17) being an official or former official of the Bank of Thailand in accordance with the regulation prescribed in the notification of the Bank of Thailand [ ] Yes [ ] No
- (18) being a person having any prohibited characteristic or lacking any following qualification as prescribed by the Bank of Thailand No.

SorNorSor.3/2021 as illustrated below:

Honesty, Integrity and Reputation

- (18.1) having been imprisoned by a final court judgment for an offense related to forgery and alteration under the Criminal Code or for computer-related offenses under the Computer Crime Act. [ ] Yes [ ] No
- (18.2) having been imprisoned by a final court judgment for an offense related to financial business operations and payment systems [ ] Yes [ ] No
- (18.3) having been imprisoned by a final court judgment for committing a preliminary offense or committing an offense of money laundering or has been subject to a judgment or an order of the court that the property shall be vested in the state according to the law on anti-money laundering or is a person who is defined as a person who has acts of terrorism or proliferation of weapons of mass destruction or have been sentenced to the final judgment for an offense of financial support for terrorism or financial support base to the proliferation of weapons of mass destruction according to the law on prevention and suppression Financial support for terrorism and the proliferation of weapons of mass destruction [ ] Yes [ ] No
- (18.4) being a director, manager, managing partner or management authority of the financial business operator and the payment system [ ] Yes [ ] No



while the business license is revoked or revocation of business registration by that person involved or responsible for the revocation Authorization or revocation of registration

- (18.5) having been removed from being a director, manager or authorized person in the management of financial institutions parent company of financial institutions or a subsidiary that operates financial business according to the law governing financial institutions business or has been withdrawn or removed from office due to disqualification or having prohibited characteristics of directors or executives of specialized financial institutions or has been withdrawn from being a director, manager or person with power of management of a securities company under the law on Securities and Exchange or has been removed from being a director, manager or authorized person in the management of life insurance companies under the law on life insurance or non-life insurance companies according to the law on non-life insurance unless the removal or vacation of office is not related to Integrity or dishonesty  Yes  No
- (18.6) having been removed, by Bank of Thailand, Securities and Exchange Commission Office, Office of Insurance Commission or government agencies both in the country and abroad accuse, complain, or are being prosecuted for fraud or dishonestly, unless it appears that the case is final without guilt  Yes  No
- (18.7) having or having shown any behavior that indicates the omission of legitimate duties to supervise juristic persons or businesses in which one has director, manager or management authority of financial institutions parent company of financial institutions Subsidiary company that operates financial business or specialized financial institutions which acting not compliance with the laws, rules, regulations and the internal operational manuals, including resolutions of the Board of Directors or General Meeting of Shareholders, in credit underwriting, decision-making for investment or any acts, which may reduce public confidence in the overall financial institution or damage to the reputation or operating status of such a business, such as causing the BIS Ratio to drop below the regulatory requirement, or failure to maintain sufficient liquid assets  Yes  No





as required by law, or causing damage to the customer of financial institutions significantly.

(18.8) there is a reasonable ground to suspect that an act or has ever done an act which constitutes or causes fraud or defrauding the public; or is the treatment of consumers dishonestly or unfairly  Yes  No

(18.9) there is a reasonable ground to suspect that the act or has done an act which causes or causes Discrimination or exploitation for one's own or a group's interests or participation in any decision which may cause conflict of interest or there is a reason to suspect that the behavior that shows implied actions in a way that is dishonest.  Yes  No

(Competence, Capability and Experiences)

(18.10) being a holder of any other political positions by laws on Counter-corruption include Members of Vice Minister Committee or Vice Minister under Regulations of Office of the Prime Minister concerning Vice Minister Committee B.E. 2546  Yes  No

(18.11) being a director, manager, employee or person with power of management other financial institutions or Specialized Financial Institutions at the same time unless exempted from the Bank of Thailand

(18.12) being a person whose tenure as a Bank of Thailand official ended less than 1 year ago in the position of Assistant Governor of the Bank of Thailand or those in equivalent or higher positions or segments or those who in position of senior director or those in equivalent, with legitimate authority to decide and determine the governance policy or supervise and examine the financial institutions  Yes  No

(18.13) having or having had a record showing the lack of accounting standards, risk management standards or other professional standards for business undertaking, established by relevant government agencies or standardization agencies, both domestically and abroad, such as window dressing to conceal the actual financial status or performance results, intended omission of disclosure of material information, revocation of professional license  Yes  No



(Financial Soundness)

(18.14) having defaulted on paying principal or interest to a financial institution a specialized financial institutions or credit granting company whether domestically or aboard or classified as assets and financial obligations with credit impairment. (non-performing)  Yes  No

**c) Prohibited qualifications and characteristics of directors as specified under Section 86 of the Public Limited Companies Act B.E.2535**

(19) operate any business which has the same nature as and is in competition with the business of the company or become a partner in an ordinary partnership or become a partner with unlimited liability in a limited partnership or become a director of a private company or any other company operating business which has the same nature as and is in competition with the business of the company  Yes  No

**d) Qualifications and characteristics of an independent director as per Corporate Governance Policy and Code of Conducts which are more stringent than those specified by the Notification of the Capital Market Supervisory Board No. TorChor. 3/2560 and Notification of the Bank of Thailand No. SorNorSor. 1/2566 Re. Corporate Governance of Financial Institution**

(20) holding shares not exceeding 0.50 per cent of the total number of voting rights of the company, its parent company, subsidiary, affiliate or juristic person which may have conflicts of interest, including the shares held by related persons of the independent director  Yes  No

(21) neither being nor having been a non-independent directors of the company, its parent company, subsidiary, affiliate, same-level subsidiary or juristic person who may have conflicts of interest unless the foregoing status has ended not less than two years  Yes  No

(22) neither being nor having been an executive director, managers, persons with power of management, advisor, employee, or staff who receives salary, or a controlling person of the company, its parent company, subsidiary, affiliate, same-level subsidiary or juristic person who may have conflicts of interest unless the foregoing status has ended not less than two years  Yes  No

(23) not being a person related by blood or registration under laws, such as father, mother, spouse, sibling, and child, including spouse of the children, executives, major shareholders, controlling persons, or persons to be nominated as executive or controlling persons of the company or its subsidiary  Yes  No



- (24) not having a business relationship with the company, its parent company, subsidiary, affiliate or juristic person who may have conflicts of interest, in the manner which may interfere with his independent judgment, and neither being nor having been a major shareholder, non-independent director or executive of any person having business relationship with the company, its parent company, subsidiary, affiliate or juristic person who may have conflicts of interest unless the foregoing relationship has ended not less than two years  Yes  No
- (25) neither being nor having been an auditor of the company, its parent company, subsidiary, affiliate or juristic person who may have conflicts of interest, and not being a major shareholder, non-independent director, executive or partner of an audit firm which employs auditors of the company, its parent company, subsidiary, affiliate or juristic person who may have conflicts of interest unless the foregoing relationship has ended not less than two years  Yes  No
- (26) neither being nor having been any professional advisor including legal advisor or financial advisor who receives an annual service fee exceeding two million Baht from the company, its parent company, subsidiary, affiliate or juristic person who may have conflicts of interest, and neither being nor having been a major shareholder, non-independent director, executive or partner of the professional advisor unless the foregoing relationship has ended not less than two years  Yes  No
- (27) not being a director who has been appointed as a representative of the company's director, major shareholder or shareholders who are related to the company's major shareholder  Yes  No
- (28) not undertaking any business in the same nature and in competition to the business of the company or its subsidiary companies or not being a significant partner in a partnership or being an executive director, employee, staff, advisor who receives salary or holding shares exceeding one per cent of the total number of shares with voting rights of other company which undertakes business in the same nature and in competition to the business of the company or its subsidiary companies  Yes  No
- (29) not having any characteristics which make him/her incapable of expressing independent opinions with regard to the company's business affairs  Yes  No
- (30) not being an independent director for more than nine (9) consecutive years in TISCO or its subsidiaries  Yes  No



**21. Representation**

By signing below, I, the person nominated to be considered for the position of director of the Company TISCO Financial Group Public Company Limited, acknowledge, accept, and consent to this nomination. Including certifying that they are fully qualified and have no prohibited characteristics according to the law and the company's criteria, as well as certifying that the details in the aforementioned profile form are correct and complete, and that the supporting documents submitted herein are true in all respects. I give consent to the Company to collect, use and disclose my personal data, including for the minutes and attachments of the general meetings of shareholders of the Company, and for specifying my personal data in the publicly disclosed minutes and attachment.

Signed by \_\_\_\_\_ Candidate  
 ( \_\_\_\_\_ )  
 Date \_\_\_\_\_

**Required documents certified by nominated candidates (Please specify reasons for unavailable items)**

- (1) Copy of valid National ID card
- (2) Copy of house registration
- (3) Educational records / certificates
- (4) Reference letter from a present or latest company (Company Testifying Form for non-residents)
- (5) Immigration documents / Copy of valid passport (in case of non-residents)
- (6) The evidence of shares held such as a certified true copy of the share certificate (if any).
- (7) Certificate or other document from financial institution or specialized financial institutions or credit granting company both Thailand and other countries or credit bureau or other related agency verifying that the candidate is not classified into impaired (non-performing) financial assets and commitments.
- (8) Details of getting credits, transaction similar to credits or guarantee of the candidate or related persons provided from TISCO Group (Except for credits as welfare)
- (9) Documents or evidence proving current status of accusation or litigation specified in No. 17-19 from related agencies such as government authorities or former/current employer (if any)
- (10) Result of criminal record check issued by the Criminal Records Division, the Royal Thai Police
- (11) Confirmation of approval intent or confirmation of appointment intent
  - (11.1) Confirmation of approval intent or confirmation of appointment intent from the chairman of the nomination committee – if the person applied for approval is investigated or had been investigated by the former or current employer for the violation of laws or internal guidelines related to honesty; and
  - (11.2) Confirmation of approval intent or confirmation of appointment intent from the chairman of the board of director – if the person applied for approval is to serve as the director, manager, or equivalent



position but with different job title is investigated or had been investigated by the former or current employer for the violation of laws or internal guidelines related to honesty.

On this, for a foreign bank branch, it may use the confirmation of approval intent and confirmation of appointment intent from the committee or person responsible for approving or evaluating the performance of senior executives of the foreign bank branch at the regional office or head office.

(12) Other evidence or information that would be beneficial to the verification of qualifications (if any)

*\* Please conceal your religion on the certified true copy of the identification card. In case that the religion on the copy of your identification card is not concealed, the Company will strikethrough the detail since such data is not required to be processed.*

The Company collects, uses, and discloses the personal data of shareholders following the Privacy Notice, detailed on the Company's website <https://www.tisco.co.th/en/privacy-notice.html>



**Consent Letter for Personal Data Processing**  
**For Qualified Candidate who is Proposed for Director Position**  
**in 2025 Annual General Meeting of Shareholders**

I, ..... give consent to TISCO Financial Group Public Company Limited (“the Company”) to collect, use and/or disclose personal data of a person nominated for director election in 2024 Annual General Meeting of Shareholders and such data of related persons specified in the attached Resume and documents such as Name–Surname, ID No. or Date of Birth for as long as necessary to consider the qualifications and appropriateness for directorship. Such data and the data of related persons may be disclosed, submitted, or transferred to supervisory authorities or other government agencies for the purpose of data validation, regulatory compliance, and supervisory coordination. Please note that you, as an owner of such personal data, shall have all legal rights over the personal data as prescribed by the law.

Singed by ..... Candidate/Consent Grantor  
( ..... )  
Date .....

**Note:** Please refer the privacy notice at <https://www.tisco.co.th/en/privacy-notice.html>.