



- Translation -

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**FORM TO REPORT ON NAMES OF MEMBERS AND SCOPE OF WORK OF
THE AUDIT COMMITTEE**

The Board of Directors meeting of TISCO Financial Group Public Company Limited
No. 2/2021 held on April 23, 2021 resolved the meeting's resolutions in the
following manners:

- ~~Appointment of the audit committee~~/Renewal for the term of audit committee:
 Chairman of the audit committee (No. 1) Member of the audit committee (No. 2, No.3)

As follows: (1) Dr. Kulpatra Sirodom
(2) Assoc. Prof. Dr. Angkarat Priebjrivat
(3) Mr. Sathit Aungmanee

the appointment/renewal of which shall take an effect as of April 23, 2021

- Determination/Change in the scope of duties and responsibilities of the audit
committee with the following details:

- Remain as ever -
the determination/change of which shall take an effect as of -

The audit committee is consisted of:

- | | |
|------------------------------------|--|
| 1. Chairman of the audit committee | <u>Dr. Kulpatra Sirodom</u>
remaining term in office <u>1</u> year |
| 2. Member of the audit committee | <u>Assoc. Prof. Dr. Angkarat Priebjrivat</u>
remaining term in office <u>1</u> year |
| 3. Member of the audit committee | <u>Mr. Sathit Aungmanee</u>
remaining term in office <u>1</u> year |
| Secretary of the audit committee | <u>Ms. Chuenchit Trakarnratti</u> |

Enclosed hereto are 3 copies of the certificate and biography of the audit committee. The
audit committee number(s) 1-3 have adequate expertise and experience to review
credibility of the financial reports.

The audit committee of the company has the scope of duties and responsibilities to the Board
of Directors on the following matters:

1. Review TISCO Group's financial report to ensure accuracy and adequacy.
2. Review and evaluate that TISCO Group has suitable and efficient internal control system
and internal audit.
3. Review TISCO Group's operations to ensure compliance with related laws and regulations.
4. Consider, select and nominate the external auditor of TISCO Group, recommend
remuneration of such auditor, removal of such auditor, as well as meet with the external
auditor at least once a year without participation of management.

www.tisco.co.th

บริษัท ทีเอสซีไฟแนนเชียลกรุ๊ป จำกัด (มหาชน)
48/49 อาคารทีเอสซีทาวเวอร์ ชั้น 21 ถนนสาทรเหนือ แขวงสีลม เขตบางรัก กรุงเทพฯ 10500
โทรศัพท์ 02 633 6888 โทรสาร 02 633 6880 เลขทะเบียนบง. 0107551000223

TISCO Financial Group Public Company Limited
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5. Consider connected transaction or the transaction that may cause conflict of interest to ensure the appropriateness and best interest of TISCO Group.
6. Review accuracy and completeness of the disclosure of TISCO Group, especially the connected transaction or the transaction that may cause conflict of interest.
7. Prepare and disclose the Audit Committee report signed by the Chairperson of the Audit Committee in an annual report.
8. Consider the independence of TISCO Group's Internal Audit function, and approve Internal Audit policy, Internal Audit charter, annual audit plan, strategy, key performance indicators, staffing and budget.
9. Appoint, transfer, or dismiss Head of Internal Audit, and review an effectiveness and efficiency of head of internal audit's performance.
10. Report to the Board of Directors in order that remedial action is taken within the time that the Audit Committee deems appropriate in case the Audit Committee finds, gets reported or suspects any transactions or actions which may affect the Company's financial position and performance significantly as follows;
 - Transaction which causes conflict of interest;
 - Fraud or irregularity or material defect in the internal audit system;
 - Infringement of applicable laws. If the Board of Directors or the management does not take remedial action within the time that the Audit Committee proposed, the Audit Committee shall disclose such infringement in annual report and report to the Bank of Thailand.
11. Conduct the businesses as assigned by the Board of Directors in agreement of the Audit Committee.
12. Review and assess compliance with policies on conflict of interest and significant issues which may incur between TISCO Bank and investors in the funds managed by TISCO Asset Management and report to the Board of Directors.

The Company hereby certifies that

1. The qualifications of the aforementioned members meet all the requirements of the Stock Exchange of Thailand, and
2. The scope of duties and responsibilities of the audit committee as stated above meet all the requirements of the Stock Exchange of Thailand.

(Company's Seal)

Signed - Suthas Ruangmamamongkol -

(Mr. Suthas Ruangmanamongkol)
Chairperson of the Executive Board

Signed - Sakchai Peechapat -

(Mr. Sakchai Peechapat)
Executive Director
and Group Chief Executive

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