



FORM TO REPORT ON NAMES OF MEMBERS AND SCOPE OF WORK OF THE AUDIT COMMITTEE

The Board of Directors Meeting of TISCO Financial Group Public Company Limited

No. 2/2024 held on .. April 19, 2024 .. resolved the meeting's resolutions in the following manners:

- Appointment of the audit committee/Renewal for the term of audit committee:
 - Chairman of the audit committee (No. 1) Member of the audit committee (No.2, No.3)the appointment/renewal of which shall take an effect as of April 19, 2024
- Determination/ Change in the scope of duties and responsibilities of the audit committee with the following details:
..... - Remain as ever -
- the determination/change of which shall take an effect as of -

The audit committee is consisted of:

1. Chairman of the audit committee Ms. Penchun Jarikasem
- remaining term in office 1 year
2. Member of the audit committee Ms. Pongpen Ruengvirayudh
- remaining term in office 1 year
3. Member of the audit committee Assoc. Prof. Sillapaporn Srijunpetch, Ph.D.
- remaining term in office 1 year
- Secretary of the audit committee Ms. Chuenchit Trakarnratti

Enclosed hereto are 3 copies of the certificate and biography of the audit committee. The audit committee number(s) 1-3 have adequate expertise and experience to review creditability of the financial reports.

The audit committee of the company has the scope of duties and responsibilities to the Board of Directors on the following matters:

1. Review TISCO Group's financial reports to ensure that they are accurate, reliable with sufficient disclosures and in compliance with financial reporting standards and regulatory requirements and authorize the issuance of interim financial statements.
2. Review and evaluate that TISCO Group has adequate and efficient internal control system and internal audit.
3. Oversee the appropriateness of the Company's risk management processes and ensure that they are properly functioning as intended.

4. Consider the independence of TISCO Group's Internal Audit function, and approve Internal Audit policy, Internal Audit charter, strategy, key performance indicators, annual audit plan, staffing and budget.
5. Review and concur the appointment, transfer, or dismissal of Head of Internal Audit, and review the performance of Head of Internal Audit.
6. Consider the appropriateness of arranging independent counsel to conduct Quality Assurance Review of Internal Audit Function.
7. Ensure that TISCO Group operates businesses in compliance with related laws and regulations.
8. Consider the qualifications, independence, performance and recommend to the Board of Directors the appointment or termination of the external auditors including their remuneration as well as meet with the external auditor at least once a year without participation of management.
9. Review significant related party transactions that may give rise to conflicts of interest or have conditions differing from normal business practice in compliance with the determined policy and to ensure that the transactions are executed with transparency for the best interest of shareholders and in compliance with related laws and regulations.
10. Ensure the accuracy and completeness of the disclosure of TISCO Group, especially the connected transaction or the transaction that may lead to conflict of interest.
11. Ensure that the material acquisition and disposal transactions are appropriately and reasonably executed.
12. Prepare and disclose the Audit Committee report signed by the Chairperson of the Audit Committee in an Annual Registration Statement and Annual Report (Form 56-1 One Report).
13. Ensure that there is the proper process to handle the suspicious behavior or transactions prone to fraud or violation of laws and regulations including any suspicious circumstance of directors or managements or any person responsible for business operations and ascertain that the report to the relevant regulators could be made within the determined timeframe.
14. Report to the Board of Directors in order that remedial action is taken within the time that the Audit Committee deems appropriate in case the Audit Committee finds, gets reported or suspects any transactions or actions which may affect the Company's financial position and performance significantly as follows;
 - Transaction which causes conflict of interest;
 - Fraud or irregularity or material defect in the internal audit control system;
 - Infringement of applicable laws.

If the Board of Directors or the management does not take remedial action within the time that the Audit Committee proposed, the Audit Committee shall disclose such infringement in annual report and report to the relevant regulators.



15. Promote the establishment of channel to receive complaints or reports of improper activities about the instances of unethical behavior, actual or suspected, fraud, wrongdoing, malpractice or violation of the laws and regulations including but not limited to any misconduct and ensure that its relevant handling process is appropriately put in place.
16. Conduct the businesses as assigned by the Board of Directors in agreement of the Audit Committee.

The Company hereby certifies that.

1. The qualifications of the aforementioned members meet all the requirements of the Stock Exchange of Thailand, and
2. The scope of duties and responsibilities of the audit committee as stated above meet all the requirements of the Stock Exchange of Thailand

Signed - *Sakchai Peechapat* -

(Mr. Sakchai Peechapat)

Director

(Company's Seal)

Signed - *Metha Pingsuthiwong* -

(Mr. Metha Pingsuthiwong)

Director